

12-05-0

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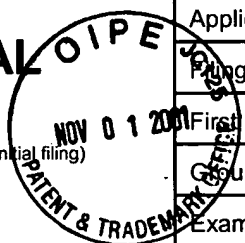
Approved for use through 9/30/00. OMB 0651-003

Patent and Trademark Office: U.S. Department of Commerce

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TRANSMITTAL FORM

(To be used for all correspondence after initial filing)



Application Number	09/091,602
Filing Date	April 19, 1999
First Named Inventor	JOACHIM BUNGER et al
Group Art Unit	1617
Examiner Name	R. Travers
Attorney Docket Number	100718-151/Beiersdorf 500-KGB

Total Number of Pages in This Submission

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1617

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"><input type="checkbox"/> Fee Attached<input type="checkbox"/> Amendment / Response<ul style="list-style-type: none"><input type="checkbox"/> After Final<input type="checkbox"/> Affidavits/declaration(s)<input checked="" type="checkbox"/> Extension of Time Request<ul style="list-style-type: none"><input type="checkbox"/> Express Abandonment Request<input type="checkbox"/> Information Disclosure Statement<input type="checkbox"/> Certified Copy of Priority Document(s)<input type="checkbox"/> Response to Missing Parts/Incomplete Application<ul style="list-style-type: none"><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <ul style="list-style-type: none"><input type="checkbox"/> Drawing(s)<input type="checkbox"/> Licensing-related Papers<input type="checkbox"/> Petition Routing Slip(PTO/SB/69) and Accompanying Petition<input type="checkbox"/> To convert a Provisional Application<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<input type="checkbox"/> Terminal Disclaimer<input type="checkbox"/> Small Entity Statement<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <ul style="list-style-type: none"><input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<ul style="list-style-type: none"><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<input type="checkbox"/> Proprietary Information<input type="checkbox"/> Status Letter<input type="checkbox"/> Additional Enclosure(s) - (please identify below): |
|---|--|---|

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William C. Gerstenzang, Esq. NORRIS McLAUGHLIN & MARCUS, P.A.
Signature	 Reg. No. 27,552
Date	November 1, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to : Hon. Commissioner of Patents, Washington, D.C. 20231 on this date: November 1, 2001

Typed or printed name	William C. Gerstenzang		
Signature		Date	November 1, 2001

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Approved for use through 10/31/2002. GMB 0051-0052
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Patent fees are subject to annual revision.

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FREE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

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Filing Date	April 19, 1999
First Named Inventor	Joachim BUNGER et al
Examiner Name	R. Traverse
Group Art Unit	1617
Attorney Docket No.	100718-151/Beiersdorf 500-1

TOTAL AMOUNT OF PAYMENT **\$1,240.00**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **14-1263**

Deposit Account Name **Norris McLaughlin & Marcus**

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$0.00

2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =		
Independent Claims	-3** =		
Multiple Dependent			

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

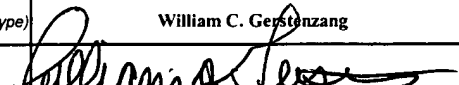
3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	320.00
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$1,240.00**

SUBMITTED BY

Name (Print/Type)	William C. Gerstanz	Registration No. (Attorney/Agent)	27,552	Telephone	(212) 808-0700
Signature		Date	November 1, 2001		

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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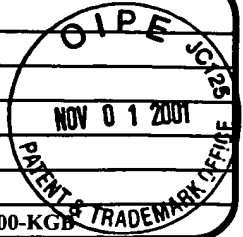
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2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** = 0	X	0.00
Multiple Dependent	-3** = 0	X	0.00

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103 18	203 9	Claims in excess of 20	
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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

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Other fee (specify) _____

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Registration No. (Attorney/Agent)

27,552

Complete (if applicable)

Telephone

(212) 808-0700

Date

November 1, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : JOACHIM BUNGER ET AL.
SERIAL NO. : 09/091,602
FILED : April 19, 1999
FOR : USE OF SUGAR DERIVATIVES AS ANTIMICROBIAL,
ANTIMYCOTIC AND/OR ANTIVIRAL ACTIVE SUBSTANCES
ART UNIT : 1617
EXAMINER : R. Travers



EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EL 867734518 US

Date of Deposit November 1, 2001

I hereby certify that the following items:

1. Transmittal Form
2. Fee Transmittal Form in duplicate - **CHARGE DEPOSIT ACCOUNT**
3. Petition for Extension of time in duplicate
4. Notice of Appeal in duplicate

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Hon. Commissioner for Patents, Washington, D.C. 20231.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By

A handwritten signature in cursive script, appearing to read "Jennifer A. Arch", written over a horizontal line.